



Business Continuity Plan Disclosure Statement

Quantitative Brokers, LLC ("QB") has developed a Business Continuity Plan on how we will respond to events that significantly disrupt our business. Since the timing and impact of disasters and disruptions is unpredictable, we will have to be flexible in responding to actual events as they occur. With that in mind, we are providing this information on our business continuity plan.

Contacting us: If after a significant business disruption, you cannot contact us as you usually do at our New York Office at 646-292-1800. QB does not under any circumstances accept orders by telephone transmission or any other form other than through our Algorithmic Electronic Trading System.

Our Business Continuity Plan: We plan to quickly recover and resume business operations after a significant business disruption and respond by safeguarding our employees and property, making a financial and operational assessment, protecting the Firm's books and records, and allowing our customers to transact business. In short, our BCP is designed to permit our firm to resume operations as quickly as possible, given the scope and severity of the significant business disruption.

Our BCP addresses: data back-up and recovery; all mission critical systems; financial and operation assessments; alternative communications with customers, employees, and regulators; alternative physical location of employees; critical supplier, contractor, bank and

counterparty impact; regulatory reporting; and assuring our customers prompt access to our trading systems.

QB backs up our important records in a geographically separate area. While every emergency situation poses unique problems based on external factors, such as time of day and the severity of the disruption, our objective is to restore its own operations and be able to complete existing transactions and accept new transactions within the same business day.

Varying Disruption: Significant business disruptions can vary in their scope, such as only our firm, a single building housing our firm, the business district where our firm is located, the city where we are located, or the whole region. Within each of these areas, the severity of the disruption can also vary from minimal to severe. In a disruption to only our firm, we will transfer our operations to an established off-site when needed and expect to recover and resume business within two (2) days. In a disruption effecting our business district, city, or region, we will transfer our operations to a site outside of the affecting area, and recover and resume business within two (2) business days. In either situation, we plan to continue operations of our electronic order taking. In the event you are affected with the same or similar disruption, we may be reached at our main number which is set up to swing to an alternative number in the event of a disruption. If the significant business disruption is so severe that it prevents us from continuing to conduct business, we will assure our customer's prompt access to their funds and securities.

For more information: Our plan is subject to modification and we will update you to any material changes in our plan as needed. Customers may alternatively obtain summaries by requesting a written copy by mail. If you have any questions about our BCP please contact our Chief Compliance Officer at 646-293-1800.